PATENT COOPERATION TREATY 19 FEB 2002

**PCT** 

# INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	(Form PC1/ISA/2	f Transmittal of International Search Report 20) as well as, where applicable, item 5 below.
6072.204-WO	ACTION	
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/DK 01/00020	12/01/2001	12/01/2000
Applicant		
_		
NOVOZYMES A/S et al.		
This International Search Report has beer according to Article 18. A copy is being tra	n prepared by this International Searching Auth Insmitted to the International Bureau.	ority and is transmitted to the applicant
This International Search Report consists	of a total of 5 sheets.	
It is also accompanied by	a copy of each prior art document cited in this	report.
Basis of the report     With regard to the language, the	international search was carried out on the bas	sis of the international application in the
language in which it was filed, unl	ess otherwise indicated under this item.	
the international search w Authority (Rule 23.1(b)).	ras carried out on the basis of a translation of th	ne international application furnished to this
b. With regard to any nucleotide an was carried out on the basis of the	d/or amino acid sequence disclosed in the in	ternational application, the international search
	onal application in written form.	
	rnational application in computer readable form	n.
furnished subsequently to	this Authority in written form.	
I	this Authority in computer readble form.	
the statement that the sub- international application a	osequently furnished written sequence listing d is filed has been furnished.	oes not go beyond the disclosure in the
the statement that the info furnished	ormation recorded in computer readable form is	s identical to the written sequence listing has been
2. Certain claims were fou	nd unsearchable (See Box I).	
3. X Unity of invention is lac	king (see Box II).	
4. With regard to the title,		
the text is approved as su	ibmitted by the applicant.	
	shed by this Authority to read as follows:	
5 With remark to the abotract		
5. With regard to the abstract,  the text is approved as su	ibmitted by the applicant	
the text has been establis	shed, according to Rule 38.2(b), by this Authori e date of mailing of this international search rep	ty as it appears in Box III. The applicant may, ort, submit comments to this Authority.
6. The figure of the <b>drawings</b> to be pub	lished with the abstract is Figure No.	
as suggested by the appl	icant.	X None of the figures.
because the applicant fai	led to suggest a figure.	

BxI	Observations where certain claims were found unsearchable (Continuation of item 1 of first shoet)
This Inter	rnational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)
This Inter	rnational Searching Authority found multiple inventions in this international application, as follows:
	see additional sheet
1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
з	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. X	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  1-22
Remark	on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.

# FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-22

A method of producing a variant of a parent pullulanase

2. Claims: 23,28,33-37,40 and part of 31,32,39,41-47 and 59

A pullulanase variant having improved thermostability compared to the Patent pullulanase

3. Claims: 24,29,38 and part of claims 31,32,41-47 and 59

A pullulanase variant having increased isoamylase activity

4. Claims: 25,30 and part of claims 31,32,39,41,42, 44-47 and 59

A pullulanase variant having altered pH dependent activity compared to the parent pullulanase

International Application No T/DK 01/00020

A. CLASSIFICATION OF SUBJECT M IPC 7 C12N9/44

C12P19/16

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

 $\begin{tabular}{ll} \begin{tabular}{ll} Minimum documentation searched (classification system followed by classification symbols) \\ IPC 7 C12N C12P \\ \end{tabular}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

### EPO-Internal

DATABASE STN INTERNATIONAL [Online] CAPLUS, CAPLUS accession no. 1999:702733; document no. 132:31329, YAMASHITA, MITSUO: "Monograph: Studies on the improvement of functions useful proteins" XP002901781 abstract & SEIBUTSU KOGAKU KAISHA, vol. 77, no. 8, 1999, pages 345-357,	1-22

X Further documents are listed in the continuation of box C.	X Patent family members are listed in annex.		
"A" document defining the general state of the art which is not considered to be of particular relevance  "E" earlier document but published on or after the international filing date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family		
Date of the actual completion of the international search	Date of mailing of the international search report		
25 June 2001	0 1. 02. 2002		
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  Fax: (+31-70) 340-3016	Authorized officer  Yvonne Siösteen		

International Application No

	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Category °	Citation of document, with indication, where appropriate, of the relevant passages	neievanii to cidim No.
X	MITSUO YAMASHITA ET AL: "Random Mutagenesis of pullulanase from Klebsiella aerogenes for studies of the structure and function of the enzyme" J. BIOCHEM, vol. 116, 1994, pages 1233-1240, XP002901782 abstract	1-22
Α	MICHAEL J. MCPHERSON: "Functional analysis of the starch debranching enzyme pullulanase" BIOCHEMICAL SOCIETY TRANSACTIONS, vol. 16, 1988, pages 723-724, XP002901783 abstract	1-22
Α	US 4 560 651 A (OUTTRUP HELLE ET AL) 24 December 1985 (1985-12-24) the whole document	1-22
Α	DATABASE STN INTERNATIONAL [Online] Medline, Medline accession no. 9879259; KATSUYA Y ET AL: "Three-dimensional structure of pseudomonas isoamylase at 2.2 A resolution" XP002901784 abstract & JOURNAL OF MOLECULAR BIOLOGY, 4 September 1998 (1998-09-04), pages 885-897, Englang	1-22
<b>A</b>	WO 98 38287 A (NOVO NORDISK AS) 3 September 1998 (1998-09-03) the whole document	1-22
Α	WO 99 01544 A (NOVO NORDISK AS) 14 January 1999 (1999-01-14) the whole document	1-22
	-	



(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference		of Transmittal of International Search Report (20) as well as, where applicable, item 5 below.
6072.204-W0 International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/DK 01/00020	12/01/2001	12/01/2000
Applicant		
NOVOZYMES A/S et al.		
This International Search Report has bee according to Article 18. A copy is being tra	n prepared by this International Searching Authansmitted to the International Bureau.	nority and is transmitted to the applicant
This International Search Report consists  It is also accompanied by	of a total of sheets. a copy of each prior art document cited in this	report.
Basis of the report		
<ul> <li>a. With regard to the language, the language in which it was filed, unl</li> </ul>	international search was carried out on the bas less otherwise indicated under this item.	sis of the international application in the
the international search w Authority (Rule 23.1(b)).	vas carried out on the basis of a translation of the	ne international application furnished to this
was carried out on the basis of the	d/or amino acid sequence disclosed in the in e sequence listing: onal application in written form.	ternational application, the international search
	ernational application in computer readable form	n.
· = -	this Authority in written form.	
	this Authority in computer readble form.	
the statement that the sul	osequently furnished written sequence listing does filed has been furnished.	oes not go beyond the disclosure in the
_		s identical to the written sequence listing has been
2. Certain claims were fou	nd unsearchable (See Box I).	
3. X Unity of invention is lac	king (see Box II).	
4. With regard to the title,		
the text is approved as su	bmitted by the applicant.	
the text has been establis	shed by this Authority to read as follows:	
5. With regard to the abstract,	the section of the color of the section of	
	ubmitted by the applicant. shed, according to Rule 38.2(b), by this Authori e date of mailing of this international search rep	
6. The figure of the <b>drawings</b> to be pub	lished with the abstract is Figure No.	
as suggested by the appl		X None of the figures.
because the applicant fai	led to suggest a figure.	
because this figure better	r characterizes the invention.	



BxI	Observations where certain claims were found unsearchable (Continuation f item 1 of first sheet)
This Inte	ernational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
з	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inte	rnational Searching Authority found multiple inventions in this international application, as follows:
	see additional sheet
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2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. X	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  1-22
Remark	on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.

#### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-22

A method of producing a variant of a parent pullulanase

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  A pullulanase variant having improved thermostability compared to the Patent pullulanase
- 3. Claims: 24,29,38 and part of claims 31,32,41-47 and 59

  A pullulanase variant having increased isoamylase activity
- 4. Claims: 25,30 and part of claims 31,32,39,41,42, 44-47 and 59

A pullulanase variant having altered pH dependent activity compared to the parent pullulanase

International Application No T/DK 01/00020

A. CLASSIFICATION OF SUBJECT MARLINE TO C12N9/44 C12P19/16

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

 $\label{lem:minimum} \begin{array}{ll} \mbox{Minimum documentation searched} & \mbox{(classification system followed by classification symbols)} \\ \mbox{IPC 7} & \mbox{C12N} & \mbox{C12P} \end{array}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

#### EPO-Internal

tegory ° Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
DATABASE STN INTERNATIONAL [Online] CAPLUS, CAPLUS accession no. 1999:702733; document no. 132:31329, YAMASHITA, MITSUO: "Monograph: Studies on the improvement of functions useful proteins" XP002901781 abstract & SEIBUTSU KOGAKU KAISHA, vol. 77, no. 8, 1999, pages 345-357,	1-22

X Further documents are listed in the continuation of box C.	X Patent family members are listed in annex.		
<ul> <li>Special categories of cited documents:</li> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier document but published on or after the international filing date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than the priority date claimed</li> </ul>	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family		
Date of the actual completion of the international search	Date of mailing of the international search report		
25 June 2001	0 1. 02. 2002		
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  Fax: (+31-70) 340-3016	Authorized officer  Yvonne Siösteen		

International Application No
T/DK 01/00020

C.(Continu	ation) DOCUMENTS CONSISTED TO BE RELEVANT	<del></del>
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Х	MITSUO YAMASHITA ET AL: "Random Mutagenesis of pullulanase from Klebsiella aerogenes for studies of the structure and function of the enzyme" J. BIOCHEM, vol. 116, 1994, pages 1233-1240, XP002901782 abstract	1-22
Α	MICHAEL J. MCPHERSON: "Functional analysis of the starch debranching enzyme pullulanase" BIOCHEMICAL SOCIETY TRANSACTIONS, vol. 16, 1988, pages 723-724, XP002901783 abstract	1-22
Α	US 4 560 651 A (OUTTRUP HELLE ET AL) 24 December 1985 (1985-12-24) the whole document	1-22
Α	DATABASE STN INTERNATIONAL [Online] Medline, Medline accession no. 9879259; KATSUYA Y ET AL: "Three-dimensional structure of pseudomonas isoamylase at 2.2 A resolution" XP002901784 abstract & JOURNAL OF MOLECULAR BIOLOGY, 4 September 1998 (1998-09-04), pages 885-897, Englang	1-22
Α	WO 98 38287 A (NOVO NORDISK AS) 3 September 1998 (1998-09-03) the whole document	1-22
Α	WO 99 01544 A (NOVO NORDISK AS) 14 January 1999 (1999-01-14) the whole document	1-22
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Information on patent family members

International Application No T/DK 01/00020

	-127				<b>,</b>	
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09879259 98387895 PMID: 9719642

Three-dimensional structure of Pseudomonas isoamylase at 2.2 A resolution.

Katsuya Y; Mezaki Y; Kubota M; Matsuura Y

Hyogo Prefectural Institute of Industrial Research, Suma-ku, Kobe, 654-0037, Japan. ykatsuya@hyogo-kp.go.jp

Journal of molecular biology (ENGLAND) Sep 4 1998, 281 (5) p885-97, ISSN 0022-2836 Journal Code: J6V

Languages: ENGLISH

Document type: Journal Article

Record type: Completed

three-dimensional structure of isoamylase from Pseudomonas amvloderamosa, which hydrolyzes alpha-1,6-glucosidic linkages amylopectin and glycogen, has been determined by X-ray structure analysis. The enzyme has 750 amino acid residues and a molecular mass of 80 kDa, and it can be crystallized from ammonium sulfate solution. The structure was elucidated by the multiple isomorphous replacement method and refined at 2.2 A resolution, resulting in a final R-factor of 0.161 for significant reflections with a root-mean-square deviation from ideality in bond lengths of 0.009 A. The analysis revealed that in the N-terminal region, isoamylase has a novel extra domain that we call domain N, whose three-dimensional structure has not so far been reported. It has a (beta/alpha)8-barrel-type catalytic supersecondary structure in the domain common to the alpha-amylase family enzymes, though the barrel is incomplete, with a deletion of an alpha-helix between the fifth and sixth beta-strands. A long excursed region is present between the third beta-strand and the third alpha-helix of the barrel but, in contrast to the so-called domain B that has been identified in the other enzymes of alpha-amylase family, it cannot be considered to be an independent domain, because this loop forms a globular cluster together with the loop between the fourth beta-strand and the fourth alpha-helix. Isoamylase contains a bound calcium ion, but this is not in the same position as the conserved calcium ion that has been reported in other alpha-amylase family enzymes. Copyright 1998 Academic Press